

17281 U.S. PTO
110503

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November 5, 2003

FILE NO: 64275.000003

22141 U.S. PTO
10/702189

110503

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket Number: **64275.000003**
First Named Inventor: **William G. DENNIS**
Title: **OCCCLUSION CLIP AND APPLICATOR**

Customer No. **27682**

TO: **MAIL STOP PATENT APPLICATION**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached are the following for filing with the U.S. Patent and Trademark Office:

1. ☐ Fee Transmittal Form (original and duplicate)
2. ☒ Small Entity Status Claimed:

☐ Independent Inventor
☒ Small Business Concern
☐ Non-Profit Organization
3. ☒ A patent application including 18 pages of specification, 14 pages of claims, 1 page of abstract and 22 sheets of figures.

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CLAIMS AS FILED						
	Claims Filed	Basic Fee Claims	Extra	Rate		Amount
				Large Entity	Small Entity	
Total Claims	59	20	39	\$ 18.00	\$ 9.00	\$ 351.00
Independent Claims	7	3	4	\$ 86.00	\$ 43.00	\$ 172.00
First Presentation of Multiple Dependent Claims				\$ 290.00	\$ 145.00	\$ 0.00
BASIC FEE				\$ 770.00	\$ 385.00	\$ 385.00
TOTAL FILING FEE						\$ 908.00
Assignment Recordation Fee						\$ 0.00
TOTAL AMOUNT						\$ 908.00

4. ☒ Drawings - Total Sheets: 22 (Fig(s). 1-24)
5. Oath or Declaration - Total Pages: 2
- a. ☐ Newly executed (original or copy)
☒ New (unexecuted)
- b. ☐ Copy from a prior application
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(s):**
Signed statement attached deleting inventor(s) named in prior application.
6. ☐ Application Data Sheet
7. ☐ CD-ROM or CD-R in duplicate, large table or Microfiche Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (two copies); or

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November 5, 2003

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- ii. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statements verifying identity of above copies
9. ☐ Assignee/Applicant: _____

- ☐ Assignment and Assignment Recordation Form Submitted Herewith
- ☒ Assignee of Record in Prior Application No. **10/626,966** :
Daisy Medical Corporation
11222 St. Johns Industrial Parkway N., Jacksonville, Florida 32246
filed on August 26, 2003, awaiting Notice of Recordation.
10. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement with PTO-1449 Form
- ☐ Copies of Information Disclosure Statement Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard and Express Mail Certificate under 37 C.F.R. 1.10
15. ☒ Priority is Claimed as Follows:
10/626,966 filed on July 25, 2003
- ☐ If Foreign Priority is Claimed, Certified Copy of the Above Priority Document(s) is Submitted Herewith
16. ☐ Nonpublication Request under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach Form PTO/SB/35 or its equivalent.
17. ☐ Other: _____
18. ☐ Continuation ☐ Divisional ☒ Continuation-in-Part of
Prior Application No.: **10/626,966** filed **July 25, 2003**.

Prior application information: Examiner _____ Group Art Unit _____

MAIL STOP PATENT APPLICATION

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- ☐ Incorporation By Reference (useable if Box 5b is marked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application papers.
- ☐ Complete Application Based on Provisional Application No.: _____
filed _____

19. Please address all correspondence to:

☒ **CUSTOMER NUMBER 27682**

J. Michael Martinez de Andino, Esq.
HUNTON & WILLIAMS LLP
Riverfront Plaza, East Tower
951 East Byrd Street
Richmond, VA 23219-4074

20. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is attached.
21. ☒ A check in the amount of \$ 908.00 is enclosed. In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any such variance to **Deposit Account No. 08-3436**.

MAIL STOP PATENT APPLICATION

November 5, 2003

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☒ The U.S. Patent and Trademark Office is hereby authorized to charge any deficiencies or overpayment to **Deposit Account No. 08-3436**.

Respectfully submitted,

By:



David E. Baker, Esq.

Registration No. 42,285

DEB/lac
Enclosures

EXPRESS MAIL LABEL NO. ET374672979US

Attorney Docket No. 64275.000003
Attorney Customer No. 27682

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re US Patent Application of:)	
)	
William G. DENNIS)	
)	
Application No.: Unassigned)	Group Art Unit: Unassigned
)	
Filing Date: November 5, 2003)	Examiner: Unassigned
)	
Title: OCCLUSION CLIP AND)	
APPLICATOR)	

CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. §1.10

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

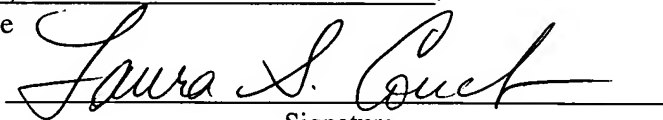
Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service
with sufficient postage as Express Mail in an envelope addressed to:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on November 5, 2003

Date



Signature

Laura A. Couch

Typed or printed name of person signing Certificate

Documents being submitted with this Certificate of Mailing include:

- Utility Patent Application Transmittal (Request for CIP)
- Patent Application entitled "Occlusion Clip and Applicator" including 18 pages specification, 14 pages of claims, 1 page of abstract and 22 sheets of Figures (1-24)
- An unexecuted Declaration and Power of Attorney
- Information Disclosure Statement and PTO-1449 Form
- Check No. 366540 in the amount of \$908.00 for the required official fees
- Self Address Stamped Return Postcard

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